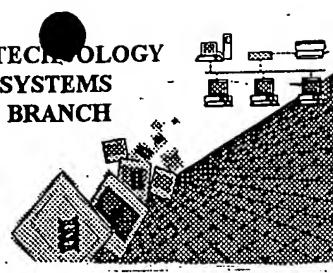


## RAW SEQUENCE LISTING ERROR REPORT



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/079,137A  
Source: O1PE  
Date Processed by STIC: 6/17/02

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name,  
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202  
Or  
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,  
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office,  
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202



*Does Not Comply  
Corrected Diskette Needed*

OIPE

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/079,137A

DATE: 06/17/2002  
TIME: 15:42:56

Input Set.: A:\419c13.app  
Output Set: N:\CRF3\06172002\J079137A.raw

4 <110> APPLICANT: Frudakis, Tony N.  
 5 Reed, Steven G.  
 6 Smith, John M.  
 7 Misher, Lynda E.  
 8 Dillon, Davin C.  
 9 Retter, Marc W.  
 10 Wang, Aijun  
 11 Skeiky, Yasir A. W.  
 12 Harlocker, Susan L.  
 13 Day, Craig H.  
 14 Li, Samuel X.  
 15 Deng, Ta  
 19 <120> TITLE OF INVENTION: COMPOSITIONS AND METHODS FOR THE THERAPY  
 20 AND DIAGNOSIS OF BREAST CANCER  
 23 <130> FILE REFERENCE: 210121.419C13  
 25 <140> CURRENT APPLICATION NUMBER: US 10/079,137A  
 26 <141> CURRENT FILING DATE: 2002-02-20.  
 28 <160> NUMBER OF SEQ ID NOS: 428  
 30 <170> SOFTWARE: FastSEQ for Windows Version 4.0

## ERRORED SEQUENCES

2636 <210> SEQ ID NO: 158      found 543!  
 2637 <211> LENGTH: (547) -  
 2638 <212> TYPE: DNA  
 2639 <213> ORGANISM: Homo sapiens  
 2641 <220> FEATURE:  
 2642 <221> NAME/KEY: misc\_feature  
 2643 <222> LOCATION: 235  
 2644 <223> OTHER INFORMATION: n = A,T,C or G  
 2646 <400> SEQUENCE: 158  
 2647 cttcaacctc cttcaacctc cttcaacctc ctggattcaa acaatcatcc cacctcagac 60  
 2648 tccttagtag ctgagactac agactcacgc cactacatct ggctaaatTT ttgttagat 120  
 2649 agggtttcat catgttgccc tggctggct caaactcctg acctcaagca atgtgcccac 180  
 W--> 2650 ctcagcctcc caaagtgcgtg ggattacagg cataagccac catgccagt ccattttaa 240  
 2651 tctttctac cacattctta ccacacttcc ttttatgttt agatacataa atgcttacca 300  
 2652 ttatgataca attgcccaca gtattaagac agtaaacatgc tgcacaggt tgtagcctag 360  
 2653 gaacagttagg caataccaca tagcttaggt gtgtggtaga ctataccatc taggttttg 420  
 E--> 2654 taagtctataccatc taggttttg (420) → counted 445!  
 E--> 2655 taagtaaccat ctaatgatgc atttctcaga 480  
 E--> 2656 atgtatccctt gtcagtaagc tatgtatgtac agggAACACT gcccaaggac acagatattg 540  
 E--> 2657 tacctgt    547

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/10/079,137A

DATE: 06/17/2002  
TIME: 15:42:57

Input Set : A:\419c13.app  
Output Set: N:\CRF3\06172002\J079137A.raw

3280 <210> SEQ ID NO: 197  
 3281 <211> LENGTH: 289 *found 285*  
 3282 <212> TYPE: DNA  
 3283 <213> ORGANISM: Homo sapiens  
 3285 <400> SEQUENCE: 197  
 3286 ttgggcacct tcaatatcat gacaggtat gtgataacca agaaggctac taagtgatta 60  
 3287 atgggtgggt aatgtataca gagtagttac actggacaga gggtaattc atagccaagg 120  
 E--> 3288 caggaggggtaattc atagccaagg 120 - Counted 145!  
 E--> 3289 caggactactc aggatagcat gcagttaaa 180  
 E--> 3290 acctataagt agtttatttt tggaaatttc cacttaatat tttcagactg caggttaacta 240  
 E--> 3291 aactgtggaa cacaagaaca tagataaggg gagaccacta cgtcgatac 289  
 4370 <210> SEQ ID NO: 261  
 4371 <211> LENGTH: 746  
 4372 <212> TYPE: DNA  
 4373 <213> ORGANISM: Homo sapiens  
 4375 <220> FEATURE:  
 4376 <221> NAME/KEY: misc\_feature  
 W--> 4377 <220> FEATURE:  
 W--> 4377 <220> FEATURE:  
 4378 <221> NAME/KEY: misc\_feature *insert C222*  
 E--> 4379 49, 734, 740, 741 *insert C222*  
 4380 <223> OTHER INFORMATION: n = A,T,C or G  
 4382 <400> SEQUENCE: 261  
 4383 ttgggcacct tcaatatcaa tagctaacat ttattgagt tttatcgat cataaaacac 60  
 4384 ttttctaaacgt cttaaacgt actaattcat ttaatgctca taatcacttt agaaggtgg 120  
 4385 tactgtatt agtctcattt acagatgcaa catgcaggca cagagaggta aattaacttg 180  
 4386 cccaaaggtaa cacagctaag aaatagaaaa aatattgaat ctggaaagtt gggcttctgg 240  
 4387 gtaacccaca gagtctcaa tgagcctgg gcctcactca gtttgcttt acaaagcgaa 300  
 4388 tgagtaacat cacttaattc agtgagtagg ccaaattggag gtcagctacg agtttctgct 360  
 4389 gttcttgcag tggactgaca gatgtttaca acgtctggcc atcagtwaat ggactgatta 420  
 4390 tcattggaw gtgggtggc tgaatgttg ccagtgaagt ttattcawgc catattttt 480  
 4391 tgtttaggt gactttggc tggctctagg gcaagctctg tctgscacgg aacacagaat 540  
 4392 wacacagggaa cccctcaat ttctgggtgt gctagaacca tgaaccactg gttggggaa 600  
 4393 caagcggtaa aaacctaagt gcccggct ggcagggtcc acccatatgg ggaaaactcc 660  
 W--> 4394 cnacgcgttt ggaatgcctn agctngaatt attctaanaat ttgtccncnt aaaattagcc 720  
 W--> 4395 tgggcgttaa tcangggtn naagcc 746  
 4723 <210> SEQ ID NO: 280  
 4724 <211> LENGTH: 272 *- found 276*  
 4725 <212> TYPE: DNA  
 4726 <213> ORGANISM: Homo sapiens  
 4728 <400> SEQUENCE: 280 *insert hard return*  
 E--> 4729 *^*  
 tacctgacat ggagaaataa cttgttagtat tttgcgtgc ggagaaataa cttgttagtat tttgcgtgcataat gaactagcaa tgc  
 E--> 4730 gttgaatgga aaaggtgagt ttcagaagga tatatatgcc ctctaaatcc atttatgtaa 180  
 E--> 4731 acctttaaa aactacatta tttatggtca taagtccatc cagaaaatat taaaaaacct 240  
 E--> 4732 acatgggatt gataactact gatgtcaggt ca 272

RAW SEQUENCE LISTING ERROR SUMMARY                    DATE: 06/17/2002  
PATENT APPLICATION: US/10/079,137A                    TIME: 15:42:59

Input Set : A:\419c13.app  
Output Set: N:\CRF3\06172002\J079137A.raw

Invalid Line Length:

The rules require that a line not exceed 72 characters in length. This includes spaces.

Seq#:280; Line(s) 4729

## VERIFICATION SUMMARY

DATE: 06/17/2002

PATENT APPLICATION: US/10/079,137A

TIME: 15:42:59

Input Set : A:\419c13.app

Output Set: N:\CRF3\06172002\J079137A.raw

L:95 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:660  
L:96 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:720  
L:97 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:780  
L:98 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:840  
L:99 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:900  
L:100 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:960  
L:101 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:1020  
L:122 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:4  
L:132 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:540  
L:133 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:600  
L:134 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:660  
L:135 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:720  
L:136 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:780  
L:137 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:840  
L:138 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:900  
L:139 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:960  
L:140 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:1020  
L:141 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:1080  
L:162 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:5  
L:168 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:300  
L:169 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:360  
L:170 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:420  
L:171 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:480  
L:172 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:540  
L:173 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:600  
L:174 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:660  
L:175 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:720  
L:176 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:780  
L:177 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:840  
L:178 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:900  
L:179 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:960  
L:201 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:6  
L:208 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:6  
L:215 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:6  
L:222 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:6  
L:229 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:6  
L:235 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:6  
L:239 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:180  
L:240 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:240  
L:241 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:300  
L:242 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:360  
L:243 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:420  
L:244 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:480  
L:245 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:540  
L:246 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:600  
L:247 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:660  
L:248 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:720  
L:249 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:780

## VERIFICATION SUMMARY

PATENT APPLICATION: US/10/079,137A

DATE: 06/17/2002

TIME: 15:42:59

Input Set : A:\419c13.app

Output Set: N:\CRF3\06172002\J079137A.raw

L:250 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:840  
L:251 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6 after pos.:900  
L:274 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:480  
L:277 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:660  
L:278 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:720  
L:279 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:780  
L:280 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:840  
L:281 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:900  
L:282 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:960  
L:283 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 after pos.:1020  
L:306 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:8  
L:345 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:9  
L:2110 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!  
L:2114 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:132  
L:2170 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!  
L:2174 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:136  
L:2654 M:254 E: No. of Bases conflict, LENGTH:Input:420 Counted:445 SEQ:158  
M:254 Repeated in SeqNo=158  
L:2657 M:252 E: No. of Seq. differs, <211> LENGTH:Input:547 Found:543 SEQ:158  
L:3288 M:254 E: No. of Bases conflict, LENGTH:Input:120 Counted:145 SEQ:197  
M:254 Repeated in SeqNo=197  
L:3291 M:252 E: No. of Seq. differs, <211> LENGTH:Input:289 Found:285 SEQ:197  
L:4377 M:283 W: Missing Blank Line separator, <220> field identifier  
L:4377 M:256 W: Invalid Numeric Header Field, <220> has non-blank data  
L:4379 M:250 E: Invalid Numeric Identifier, INVALID IDENTIFIER  
L:4394 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:261  
L:4395 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:261  
L:4635 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:275  
L:4639 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:275  
L:4729 M:254 E: No. of Bases conflict, LENGTH:Input:120 Counted:124 SEQ:280  
M:254 Repeated in SeqNo=280  
L:4732 M:252 E: No. of Seq. differs, <211> LENGTH:Input:272 Found:276 SEQ:280  
L:4783 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:283